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Please type a plus sign (+) inside this box → PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. <b>728-168 (YOR9-2000-0204)</b>
		First Inventor or Application Identifier <b>Wlodek W. Zadrozny</b>
		Title <b>Web-Based Secured Forum for...</b>
		Express Mail Label No. <b>EL484185381US</b>

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <b>91</b>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>10</b>]</p> <p>4. Oath or Declaration [Total Pages <b>6</b>]</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>		<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li><input type="checkbox"/> Statement verifying identity of above copies</li> </ol>
<b>ACCOMPANYING APPLICATION PARTS</b> <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other: .....</p>		

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)				or <input checked="" type="checkbox"/> Correspondence address below
Name	Paul J. Farrell				
Address	Dilworth & Barrese 333 Earle Ovington Blvd.				
City	Uniondale	State	NY	Zip Code	11553
Country	U.S.	Telephone	(516) 228-8484	Fax	(516) 228-8516
Name (Print/Type)	Paul J. Farrell			Registration No. (Attorney/Agent)	33,494
Signature				Date	July 12, 2000

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL484185381US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: July 12, 2000

Tidge Holmberg

PATENT

Atty. Docket No. YOR9-2000-0204 (728-168)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

jc542 U.S. PTO  
09/615351  
07/12/00

**UTILITY APPLICATION FEE TRANSMITTAL**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Wlodek W. Zadrozny, Dimitri Kanevsky and Alexander Zlatsin

For: WEB-BASED SECURED FORUM FOR COLLABORATIVE INVENTIONS  
CREATION

Enclosed are:

49 page(s) of specification

1 page(s) of Abstract

41 page(s) of claims

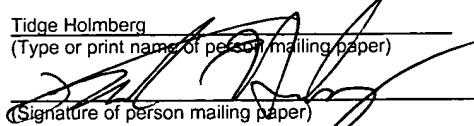
10 sheets of drawings  formal  informal

6 page(s) of Declaration and Power of Attorney

An Assignment of the invention to International Business Machines Corporation

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date July 12, 2000 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL484185381US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Tidge Holmberg  
(Type or print name of person mailing paper)  
  
(Signature of person mailing paper)

- This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

/ \_\_\_\_\_

/ \_\_\_\_\_

FILING DATE

\_\_\_\_\_

\_\_\_\_\_

- [ ] Certified copy of applications

Country

Appln. No.

Filed

from which priority under Title 35 United States Code, § 119 is claimed  
 is enclosed.

will follow.

#### CALCULATION OF UTILITY APPLICATION FEE

For	Number Filed	Number Extra	Rate	Basic Fee \$690.00
TOTAL CLAIMS	87	67	x 18 =	\$1,206.00
INDEPENDENT CLAIMS	17	14	x 78 =	\$1,092.00
<input type="checkbox"/> Multiple Dep. Claim			260	\$0
				<b>TOTAL \$2,988.00</b>

- Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$.

\*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- Check in the amount of \$\_\_\_\_ to cover the  recording, [X] filing fee(s) are attached.
- Charge fees (\$40.00 for Assignment and \$2,988.00 for filing fee) to Deposit Account No. 50-0510/IBM (Yorktown Heights).
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: July 12, 2000



Paul J. Farrell  
Reg. No. 33,494

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Tel. No. (516) 228-8484  
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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 3,028.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Wlodek W. Zadrozny
Examiner Name	
Group / Art Unit	
Attorney Docket No.	728-168 (YOR9-2000-0204)

jc542 u S 15351 PTO  
7/12/00

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0510 (Yorktown Heights)

Deposit Account Name IBM

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

 Check     Money     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	\$690.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 690.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
87	-20** = 67	x \$18	\$1,206
Independent Claims	17 - 3** = 14	x \$78	\$1,092
Multiple Dependent		x \$260	

\*\* or number previously paid, if greater; For Reissues, see below

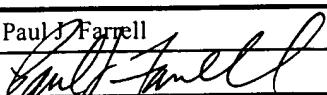
Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 2,298.00)

3. ADDITIONAL FEES	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 380	216 190	Extension for reply within second month
117 870	217 435	Extension for reply within third month
118 1,360	218 680	Extension for reply within fourth month
128 1,850	228 925	Extension for reply within fifth month
119 300	219 150	Notice of Appeal
120 300	220 150	Filing a brief in support of an appeal
121 260	221 130	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidable
141 1,210	241 605	Petition to revive - unintentional
142 1,210	242 605	Utility issue fee (or reissue)
143 430	243 215	Design issue fee
144 580	244 290	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Petitions related to provisional applications
126 240	126 240	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))
Other fee (specify) _____		\$40
Other fee (specify) _____		SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Name (Print/Type)	Paul J. Farrell	Registration No. (Attorney/Agent)	33,494	Telephone	(516) 228-8484
Signature		Date	July 12, 2000		

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Dated: July 12, 2000

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